

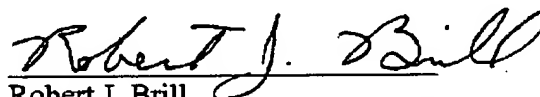
LIT-124/GCD 98-25 N00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Hodgson, et al. EXAMINER: Audrey Y. Chang  
SERIAL NO.: 09/824,119 GROUP: 2872  
FILED: 04/02/2001 DOCKET: LIT-124/GCD 98-25 N00  
TITLE: ARCHITECTURE FOR LARGE OPTICAL FIBRE ARRAY USING  
STANDARD 1x2 COUPLERS

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile transmission to Commissioner for Patents, Group Art Unit 2872, Attention: Examiner Audrey Y. Chang, Washington, D.C. 20231, at fax number (703) 872-9318, on November 13, 2002.



Robert J. Brill  
Attorney for Applicants  
Reg. No. 36,760

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NOV 13 2002

TECHNOLOGY CENTER 2800

Date of Signature: November 13, 2002

Commissioner for Patents  
Group Art Unit 2872  
Attention: Examiner Audrey Y. Chang  
Washington, D.C. 20231  
Fax Number (703) 872-9318

11/20/2002 PBRITTON 00000003 501941 09824119 RESPONSE TO OFFICE ACTION  
Sale Ref: 00000003 DAN: 501941 09824119  
01 FC:1202 Deal: 108199 CH

✓ This paper is filed in response to the Office Action mailed August 13, 2002 in connection with the above-designated application. A response to the Office Action is due November 13, 2002. Therefore, this Response is timely filed.

PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 90.00

## Complete If Known

Application Number 09/824,119  
Filing Date 04/02/2001  
First Named Inventor Hodgson  
Examiner Name Audrey Y. Chang  
Group Art Unit 2872  
Attorney Docket No. LIT-124/GCD 98-25 N00

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## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1941  
Deposit Account Name Grossman, Patti & Brill

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
29	-20** = 5	18.00	90.00
0	-3** = 0	84.00	0.00
Multiple Dependent			90.00

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 90.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 480	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

## SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Robert J. Brill	36,760	312-346-2800
Signature	Date	11/13/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## TRANSMITTAL LETTER

Commissioner for Patents  
Group Art Unit 2872  
Attention: Examiner Audrey Y. Chang  
Washington, D.C. 20231  
Fax Number (703) 872-9318

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TECHNOLOGY CENTER 2800

Dear Sir:

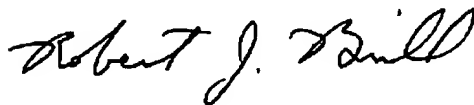
Enclosed are the following documents pertaining to the above-referenced case:

23-page Response to Office Action  
Fee Transmittal Form PTO/SB/17 (in duplicate)

Please contact the undersigned with any questions. In the event of non-payment or improper payment of a required fee, the Commissioner is hereby authorized to charge or credit the Grossman, Patti & Brill Deposit Account No. 50-1941 as required to correct the error. A duplicate copy of this letter is enclosed for fee purposes.

## CERTIFICATE OF FACSIMILE TRANSMISSION

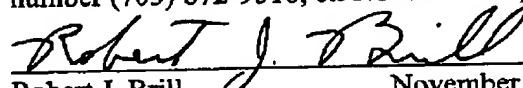
Respectfully submitted,



Robert J. Brill  
Reg. No. 36,760

Robert J. Brill  
Customer Number 32205

I hereby certify that this correspondence is being sent via facsimile transmission to Commissioner for Patents, Group Art Unit 2872, Attention: Examiner Audrey Y. Chang, Washington, D.C. 20231, at fax number (703) 872-9318, on November 13, 2002.

  
Robert J. Brill November 13, 2002